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# UNCERTAINTY WRAPPERS FOR DATA-DRIVEN MODELS

## INCREASE THE TRANSPARENCY OF AI/ML-BASED MODELS THROUGH ENRICHMENT WITH DEPENDABLE SITUATION-AWARE UNCERTAINTY ESTIMATES

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# Our Focus: Data-Driven Components



Intended  
Functionality



$$f(x) = x * 1.19$$

Specification

(Engineered) Component

```
FOR(x : X)
  IF x > 2*a
  THEN o=1
```

Code

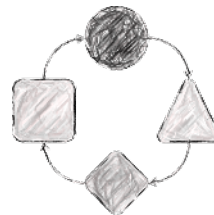


Intended  
Functionality

*Recognize Stop Signs*

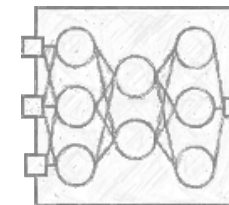


Labeled  
Examples



Learning  
Approach

Data-Driven Component



Data-Driven Model  
*CNN*

Does the component  
provide the intended  
outcome for each input ?



**Information**

Outcome  
No stop sign

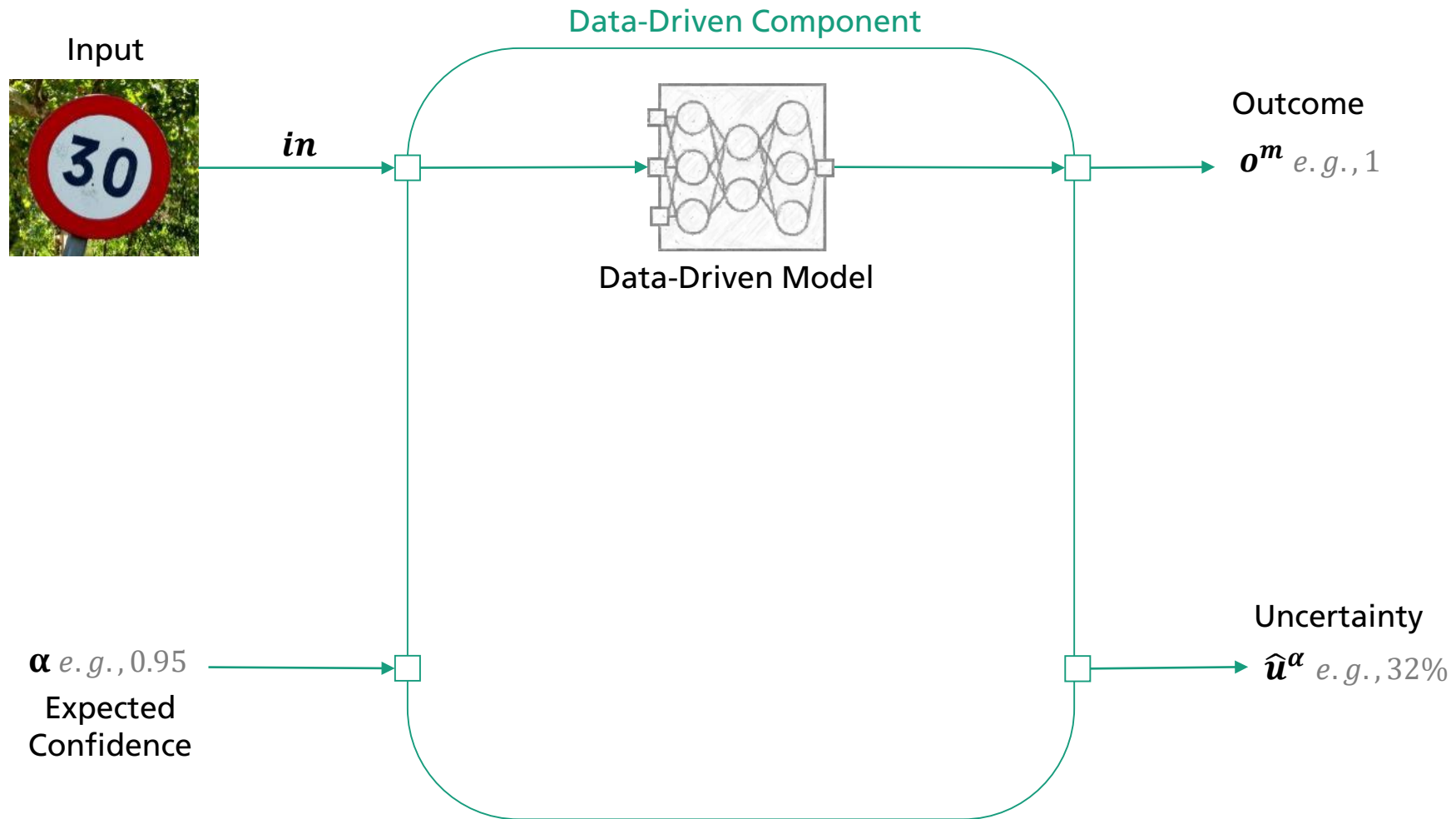
**Uncertainty**

Error probability  
0.5% | 40%

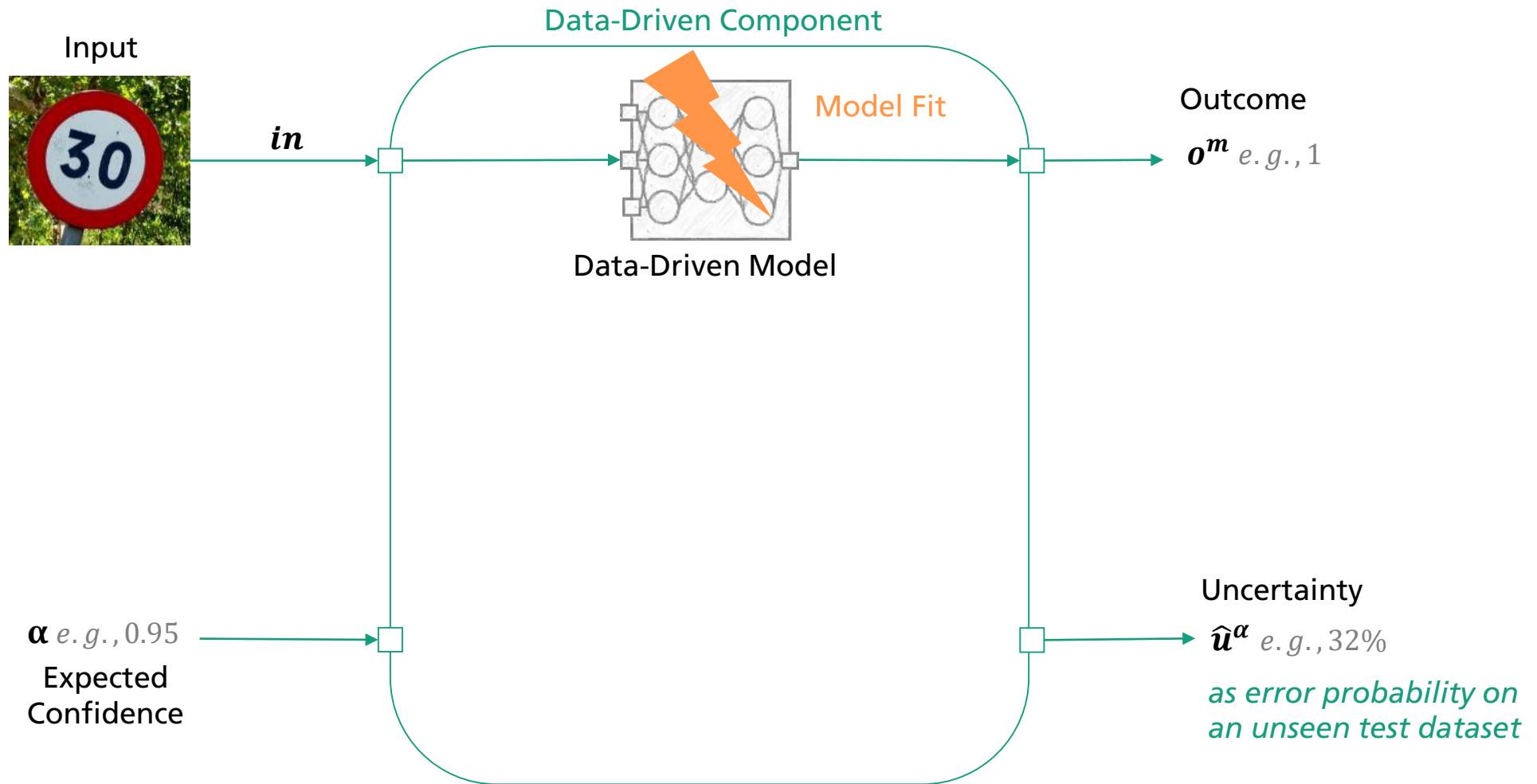
**Dependability**

Confidence level  
95%

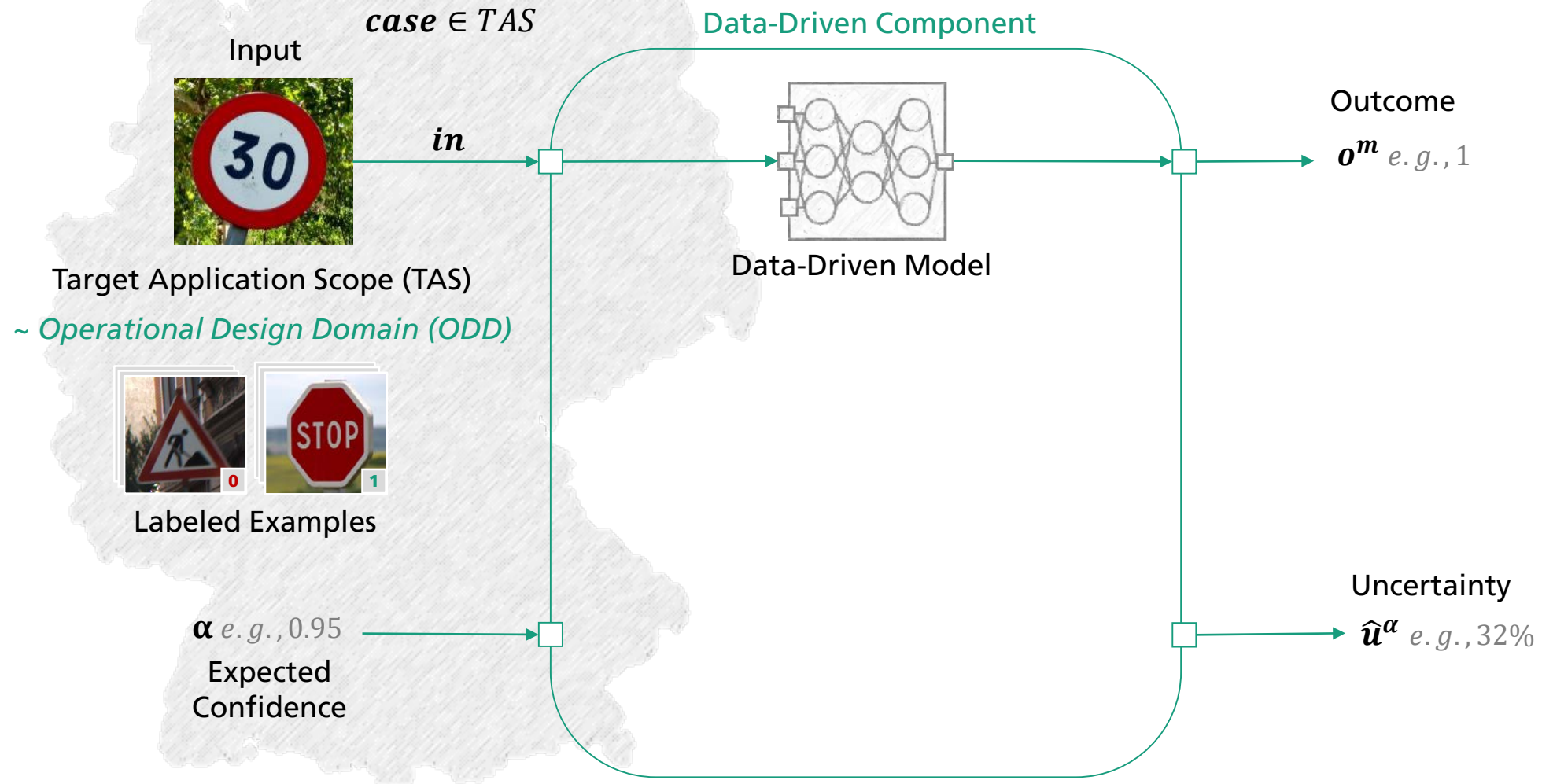
# Uncertainty Wrapper: An Architectural Perspective



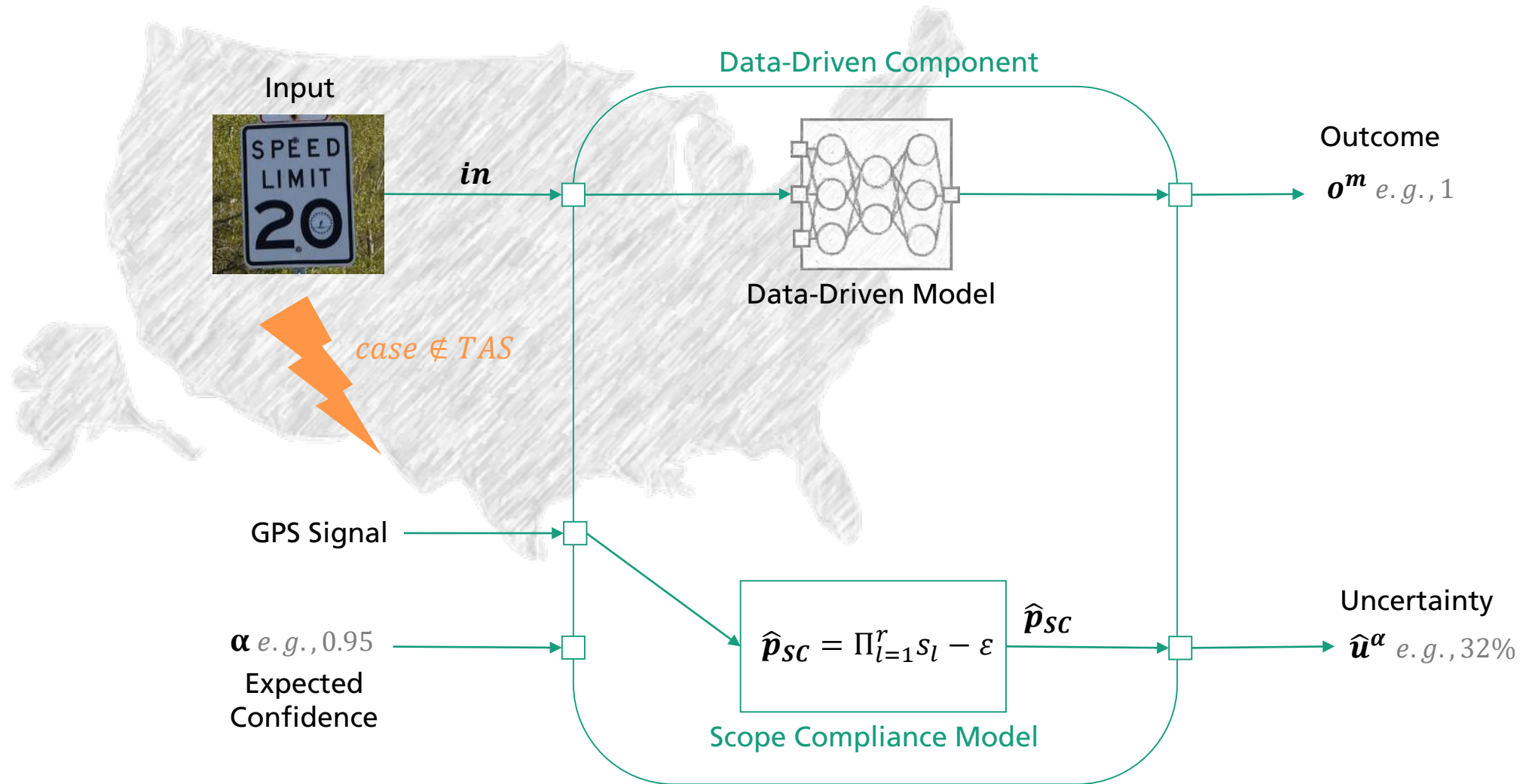
# Key Sources of Uncertainty: Model Fit



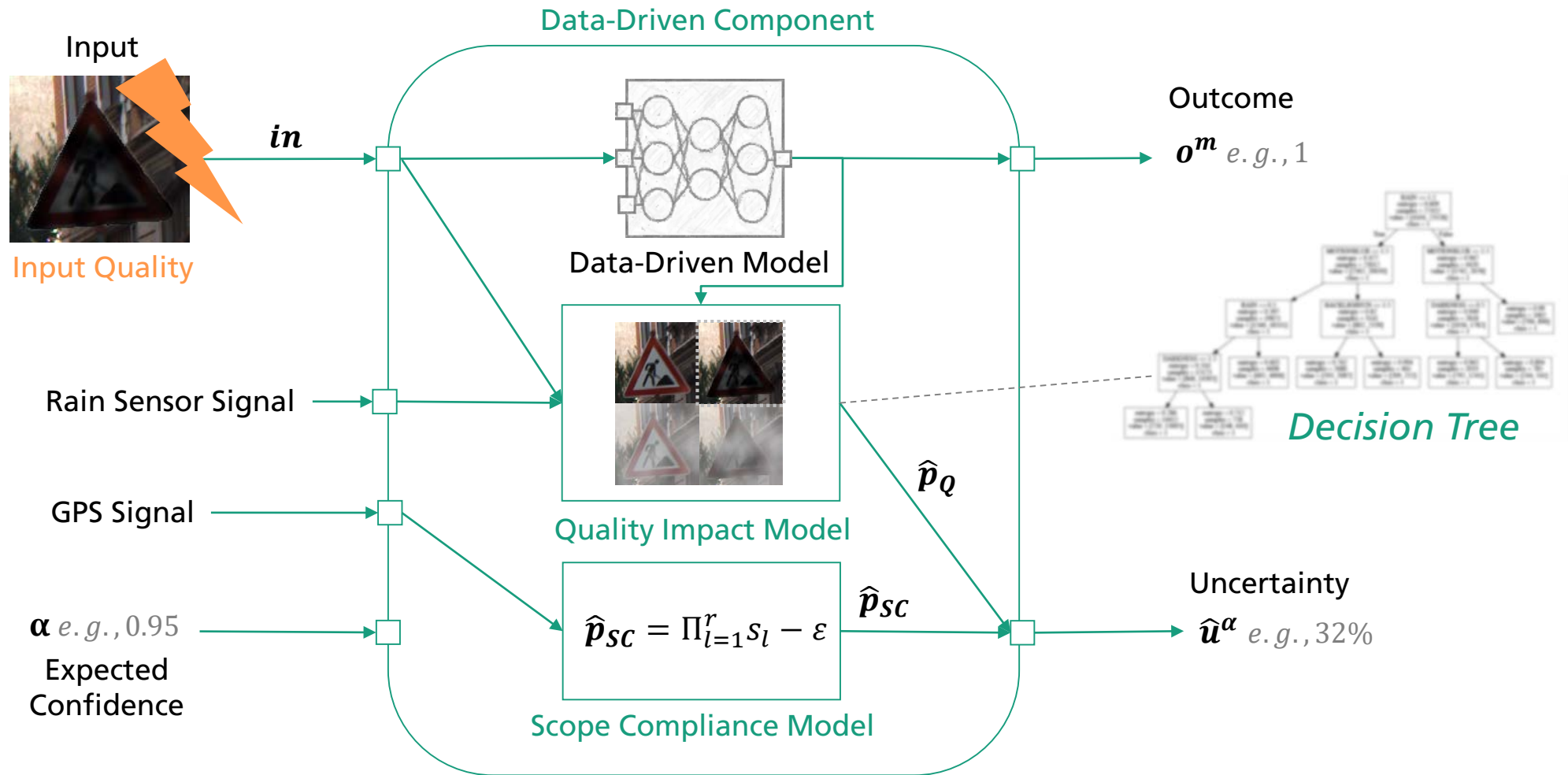
# Key Sources of Uncertainty: Scope Compliance



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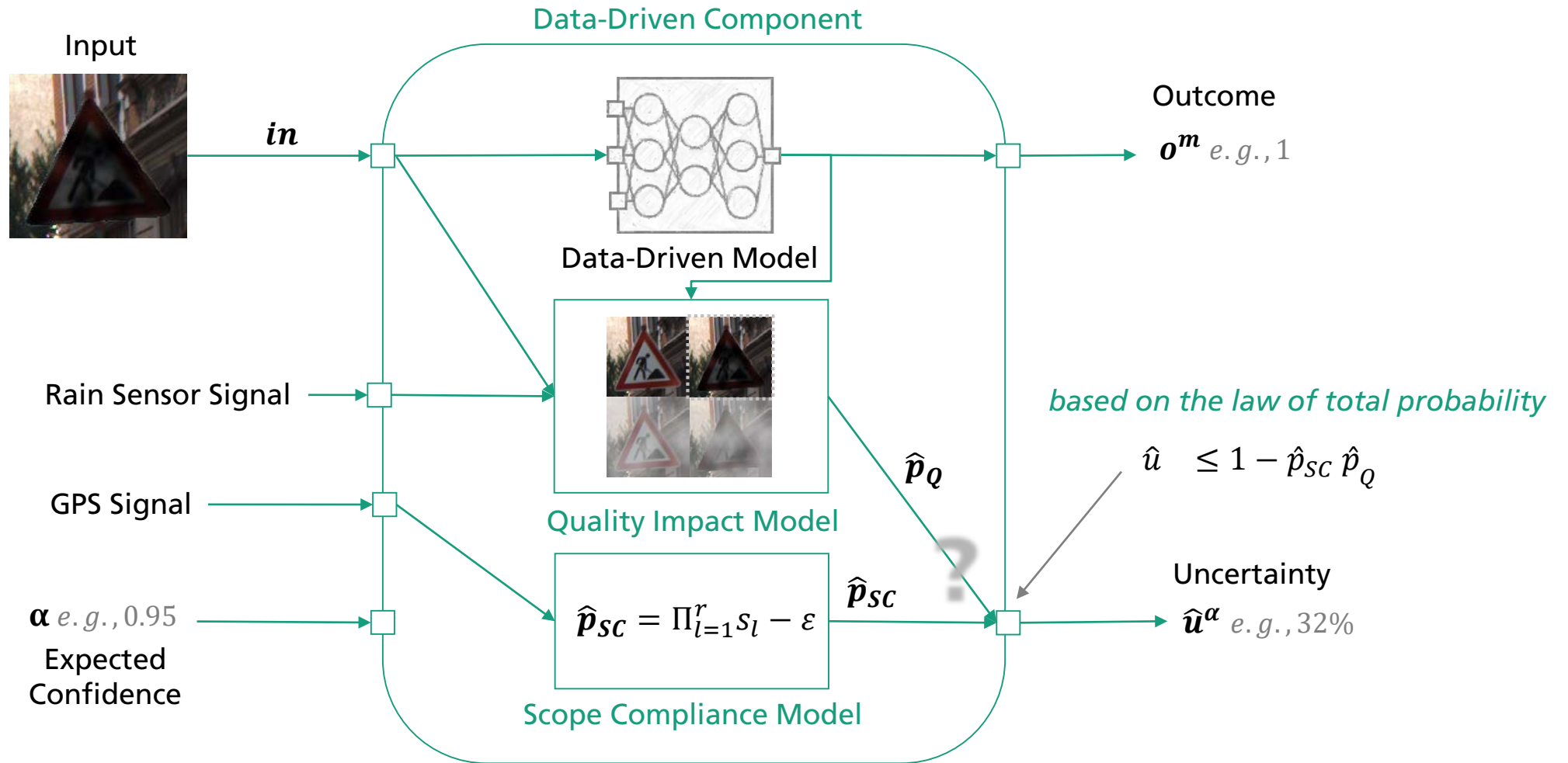


# Key Sources of Uncertainty: Input Quality

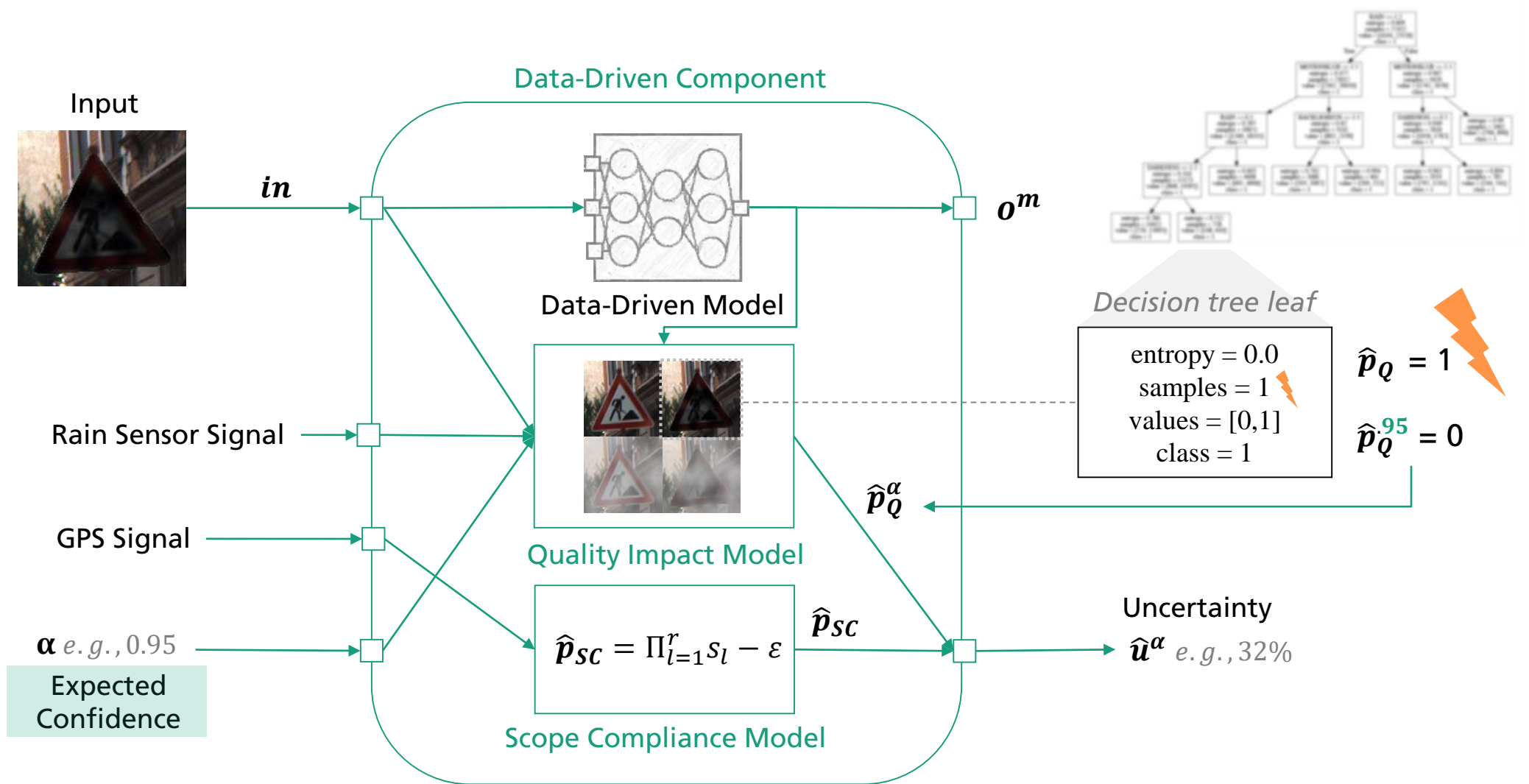




# Combining Quality Impact and Scope Compliance



# Considering Expected Confidence



## Summary: Key Advantages

**Simple and justifiable** interpretation based on decision tree structure

**Holistic** considering model fit, input quality, and scope compliance

**Independently applicable** from the encapsulated data-driven model

# Related References

- Kläs, M., Sembach, L., "**Uncertainty Wrappers for Data-driven Models** - Increase the Transparency of AI/ML-based Models through Enrichment with Dependable Situation-aware Uncertainty Estimates," Second International Workshop on Artificial Intelligence Safety Engineering (WAISE 2019), Turku, Finland, 2019.
- Jöckel, L., Kläs, M., "**Increasing Trust in Data-Driven Model Validation** - A Framework for Probabilistic Augmentation of Images and Meta-Data Generation using Application Scope Characteristics," Proceedings of International Conference on Computer Safety, Reliability and Security (SAFECOMP 2019), Turku, Finland, 2019.
- Kläs, M., Vollmer, A. M., "**Uncertainty in Machine Learning Applications – A Practice-Driven Classification of Uncertainty**," First International Workshop on Artificial Intelligence Safety Engineering (WAISE 2018), Västerås, Sweden, 2018.
- Kläs, M., "**Towards Identifying and Managing Sources of Uncertainty in AI and Machine Learning Models - An Overview**," <https://arxiv.org/abs/1811.11669>, 2018.



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