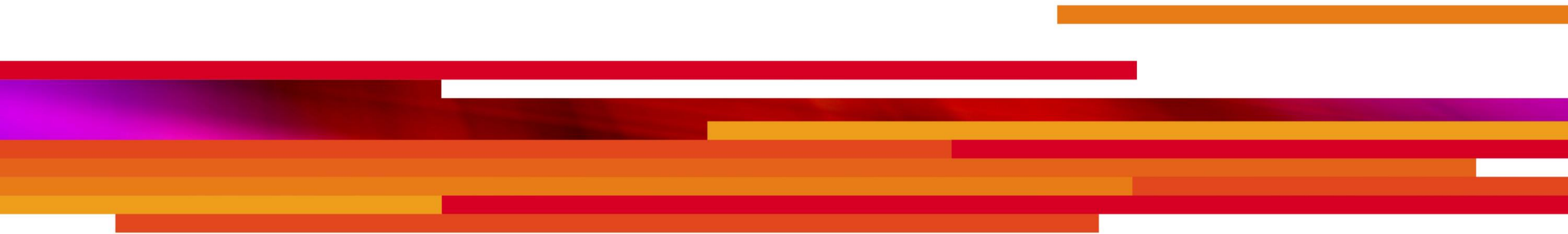




A Structured Argument for Assuring Safety of the Intended Functionality (SOTIF)

John Birch, David Blackburn, John Botham, Ibrahim Habli, David Higham, Helen Monkhouse, Gareth Price, Norina Ratiu, Roger Rivett

Submitted as a short paper to
WAISE 2020: Third International Workshop on Artificial Intelligence Safety Engineering



Acronyms



ODD: **O**perational **D**esign **D**omain

ADS: **A**utomated **D**riving **S**ystem

DDT: **D**ynamic **D**riving **T**ask



Agenda



- What is an ODD?
- What role does it play in ADS Safety Assurance?
- Typical ADS Drive Cycle
- ODD-Activation States
- Example Safety Claims
- Safety Argument Pattern



What is an ODD?

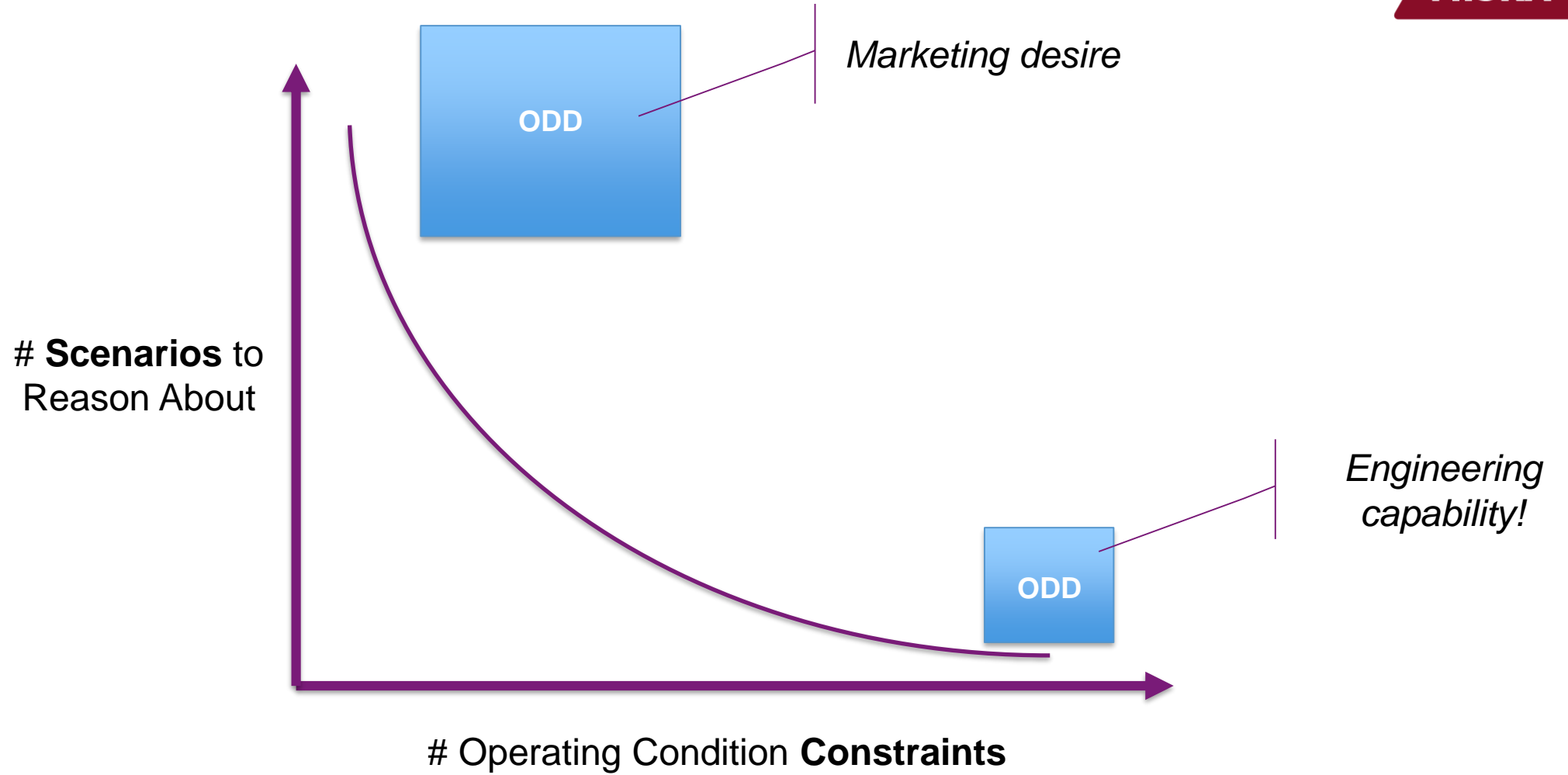


SAE J3016 2018:

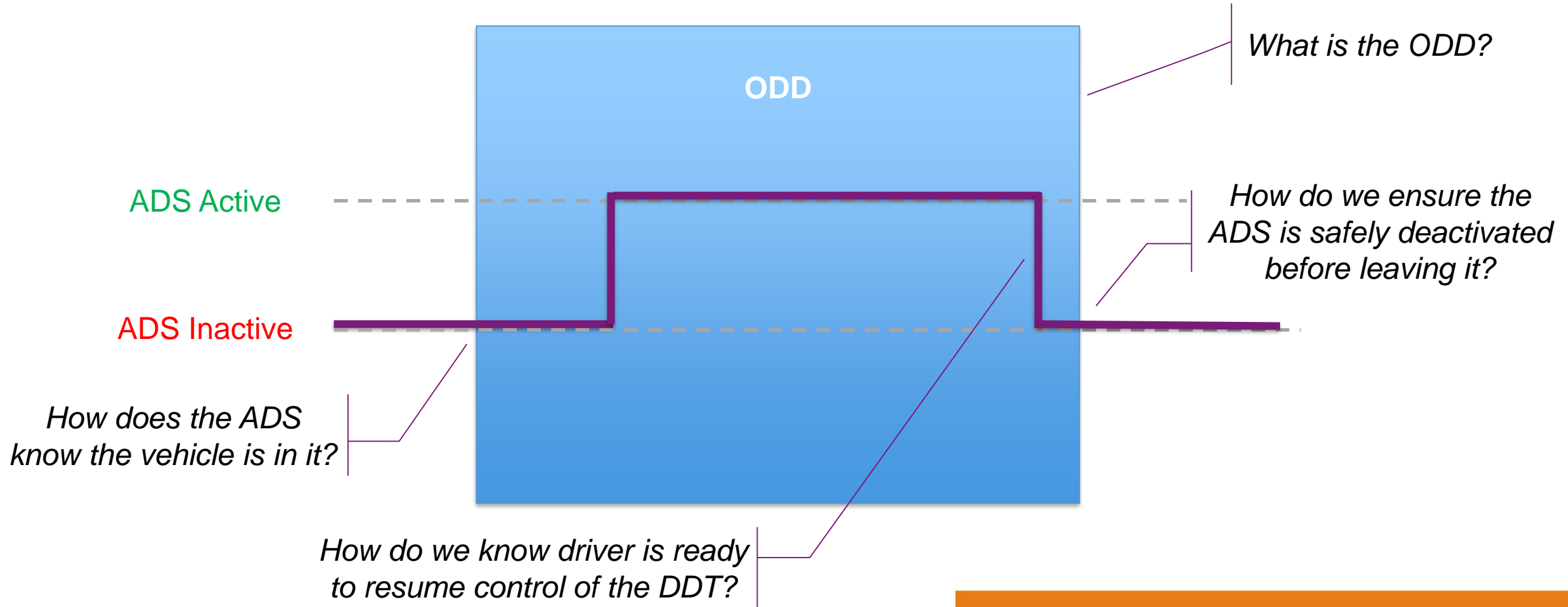
“Operating conditions under which a given driving automation system or feature thereof is specifically designed to function...”



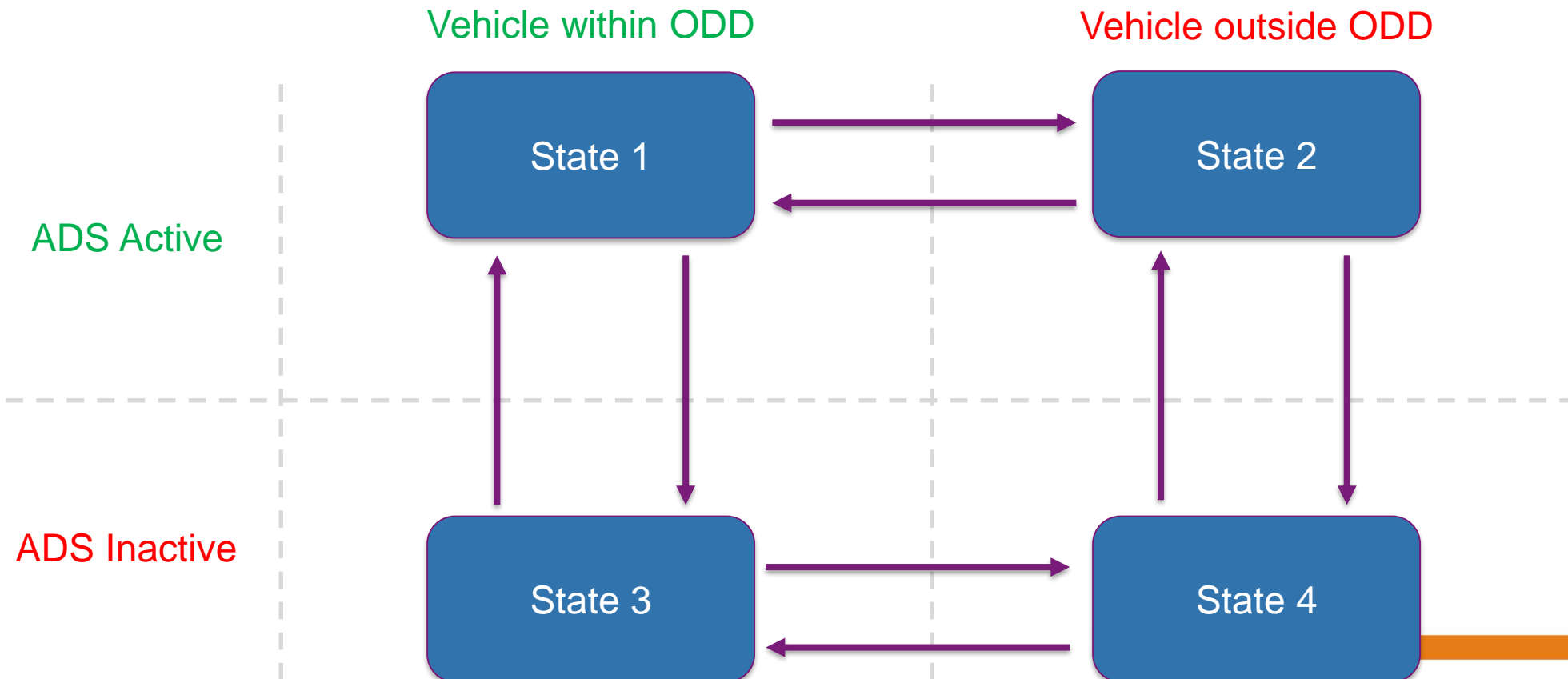
What role does it play in ADS Safety Assurance?



Typical ADS Drive Cycle

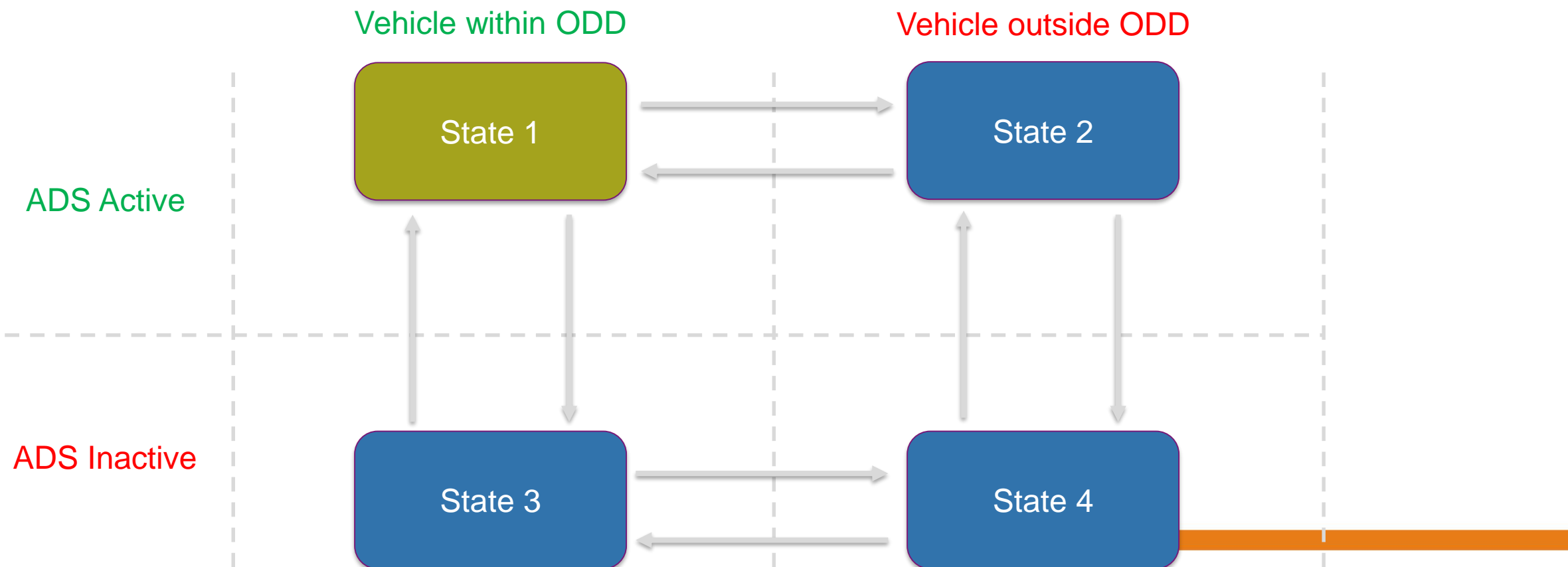


ODD-Activation States



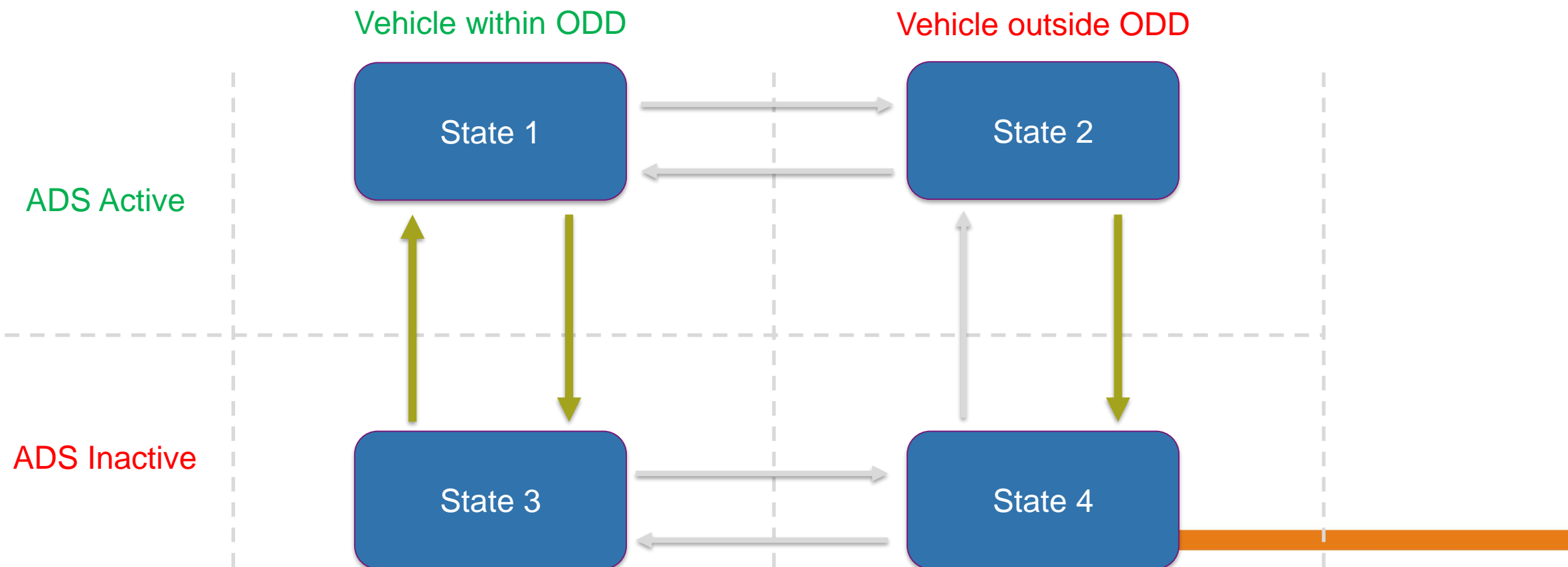
Example Safety Claims

- *All scenarios are known*
- *All known scenarios are safe*



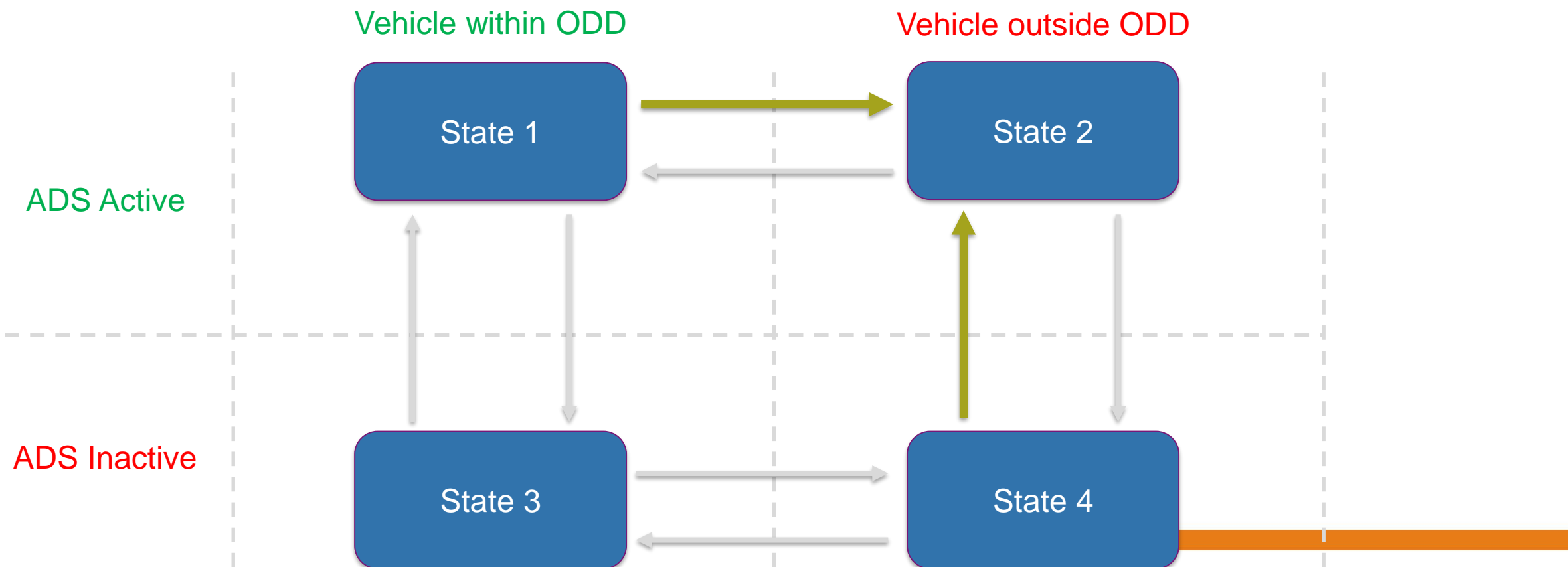
Example Safety Claims

- *Handover of DDT is intuitive and predictable*
- *Driver is ready for handover of DDT before it occurs*



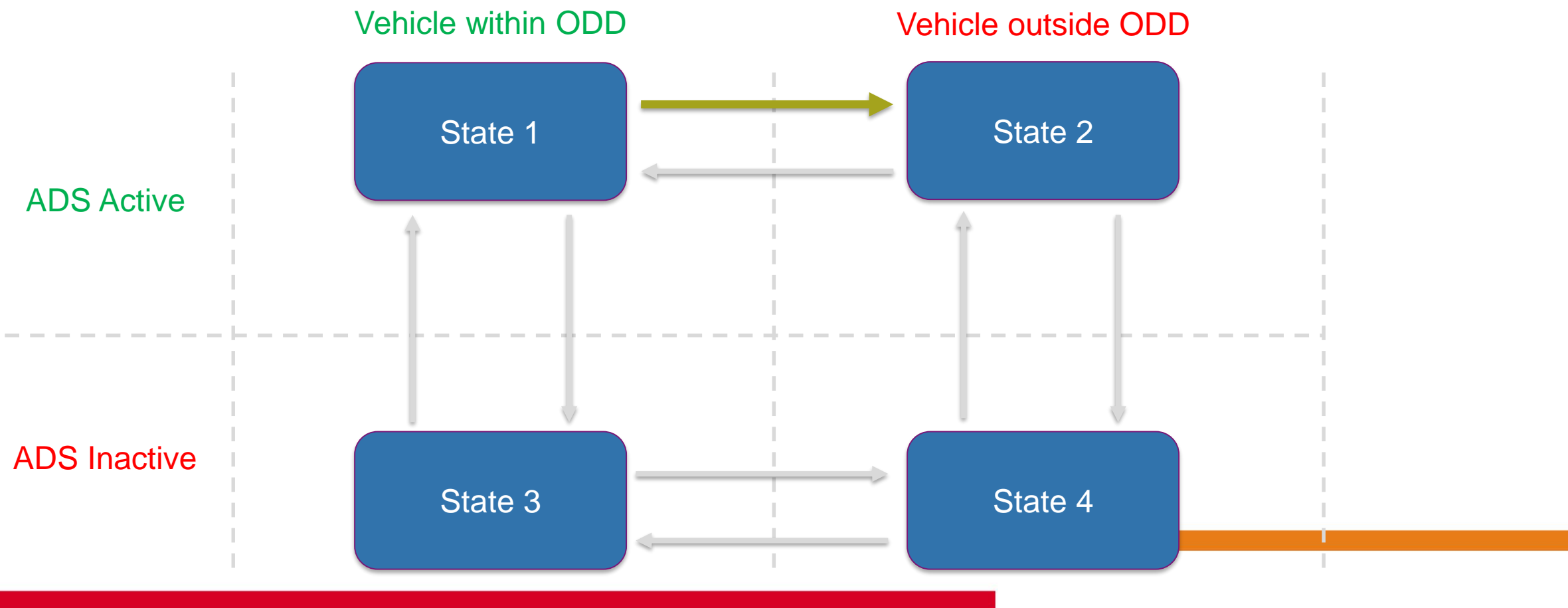
Example Safety Claims

- *ADS will never cause this transition*



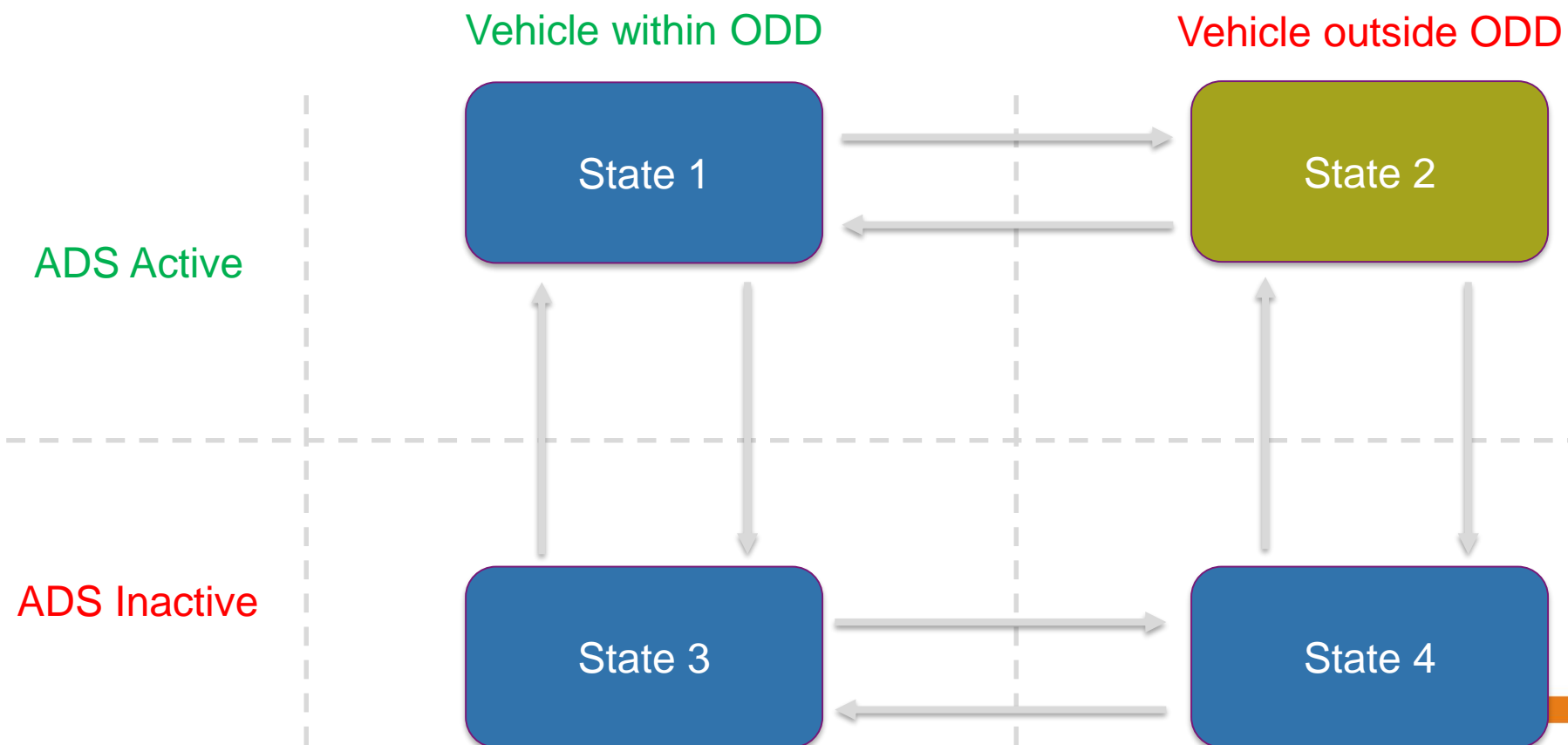
Example Safety Claims

- *ADS will detect this transition (e.g. due to sudden weather change) and take appropriately safe action*
- *This transition will not occur frequently due to overly narrow ODD*

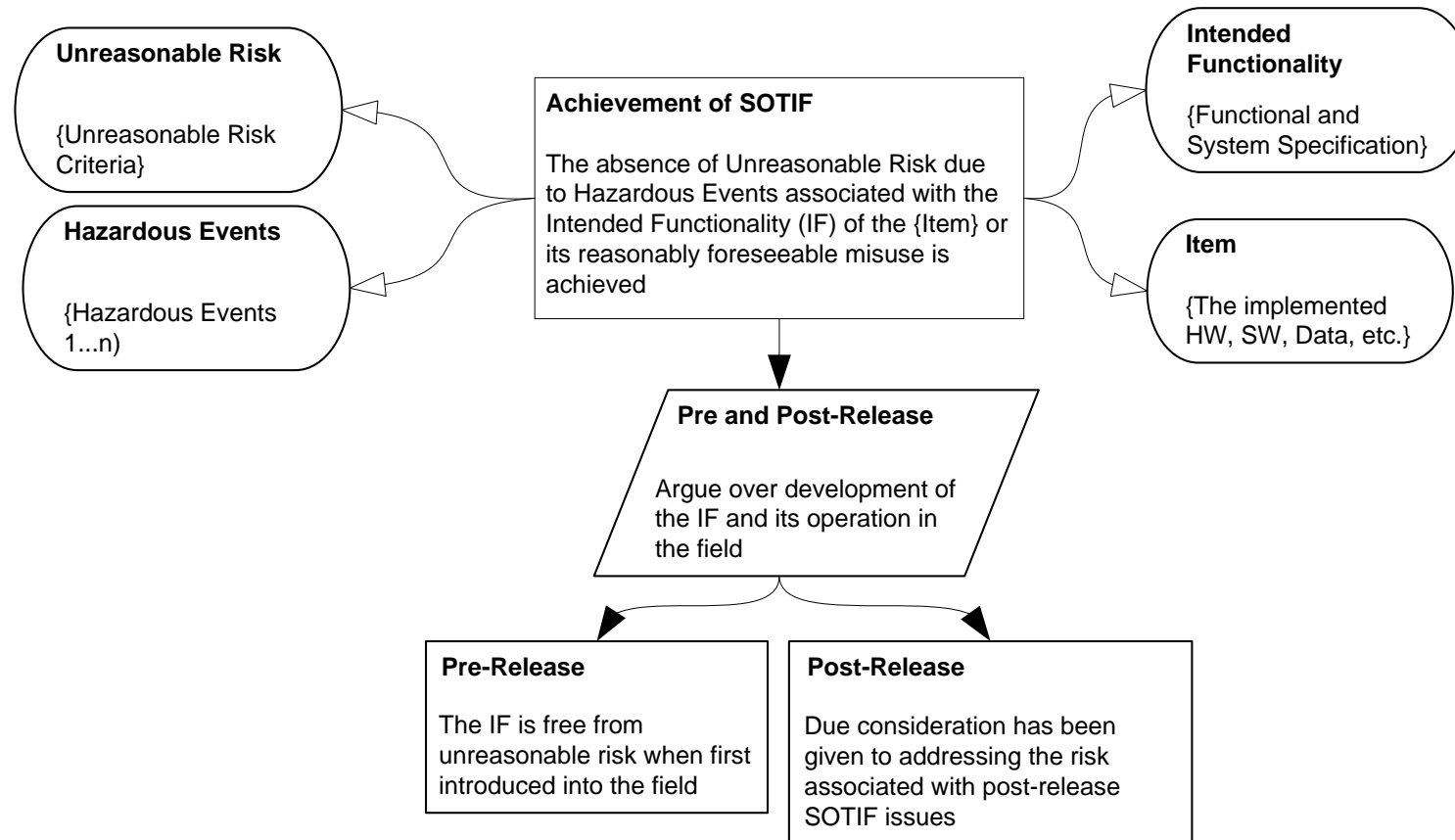


Example Safety Claims

- A justifiable strategy exists for managing risk associated with being in this state*



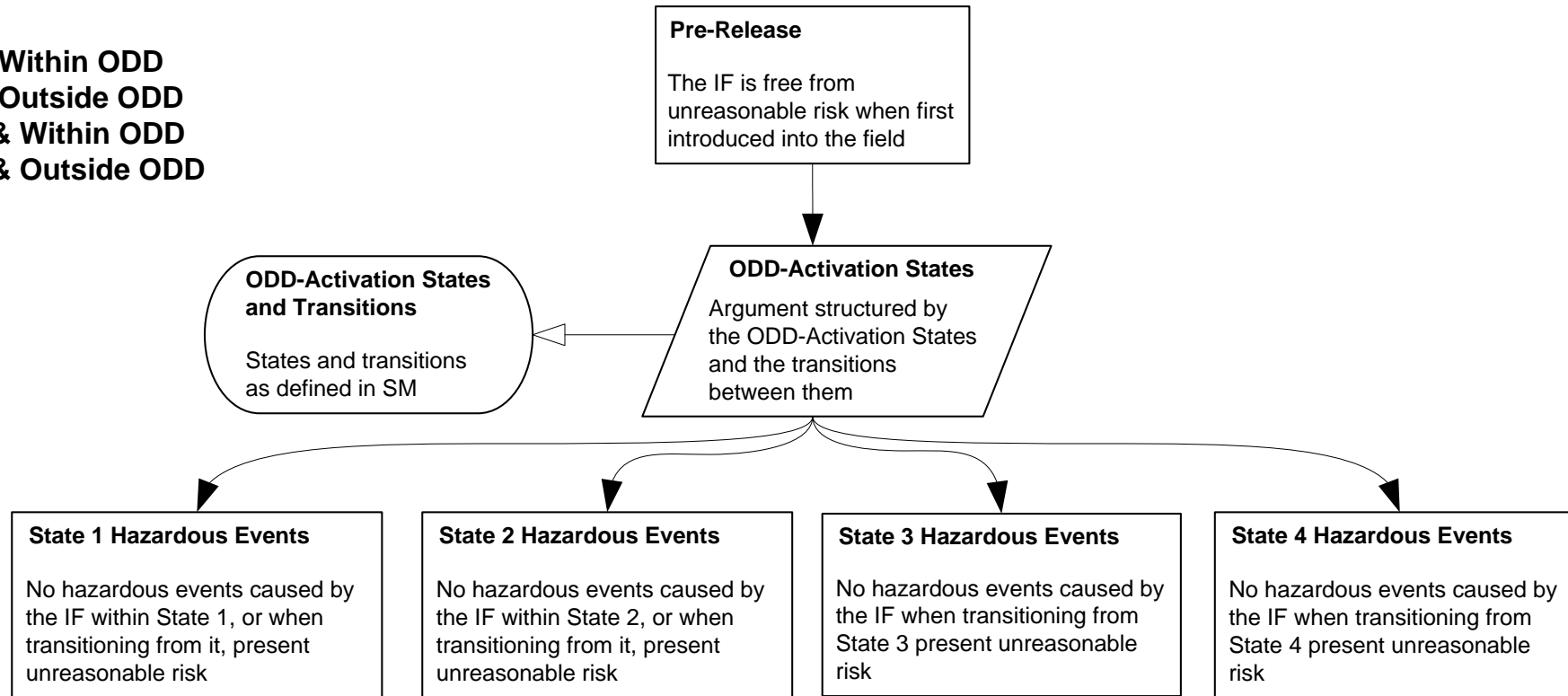
Safety Argument Pattern



Safety Argument Pattern



- State1: Active & Within ODD**
- State2: Active & Outside ODD**
- State3: Inactive & Within ODD**
- State4: Inactive & Outside ODD**



Summary



- ❑ ODD plays an important role in safety assurance but should be handled with caution!
- ❑ Four “ODD-Activation States” are proposed
- ❑ Safety claims can be systematically identified by considering these states and transitions